RETAIL ENERGY CODE

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01	Initial Assessment Report
02	Preliminary Change Report
03	Final Change Report

FINAL CHANGE REPORT

R0036 – EXTENSIVE HOUSEKEEPING AMENDMENTS

CHANGE REPORT	FINAL
DOCUMENT VERSION	1.0
ISSUED DATE	18 October 2022
DOCUMENT APPROVAL STATUS	APPROVED

RESPONSIBLE COMMITTEE	CHANGE PANEL	CHANGE PATH	SELF GOVERNANCE
PRIORITY STATUS	LOW	URGENCY	STANDARD

DEADLINE FOR ALTERNATIVE CHANGE PROPOSALS	02 September 2022

LEAD CHANGE ANALYST



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CHANGE OVERVIEW

This housekeeping Change Proposal seeks to make typographical and grammatical corrections that have been identified and clarifications and improve consistency across the REC.

Additionally, SPAA CP 496 (MAM to CDSP Update of MAP ID and MTD) was implemented prior to the designation of the REC, however, the legal text was not updated into the REC. The relevant system changes to give effect to SPAA CP 496 were made by Xoserve on 22 January 2022. As a result, the relevant sections of the Meter Operations Schedule need to be updated to require the Meter Asset Provider ID to be sent the CDSP to supplement information received from shippers.

	High Impact: None
	Medium Impact: None
	Low Impact: Energy Suppliers, Distribution Network Operators, Gas Transporters, Metering Equipment Managers, Data Collectors, Meter Administrators, Data Communication Company, REC Service Users, Automated Meter Reading Service Providers and Non-Party REC Service Users

RESPONSIBLE COMMITTEE DECISION

On the 18 October 2022 the REC Change Panel voted to **APPROVE R0036** for implementation on 04 November 2022.

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BACKGROUND

1 SUMMARY

The Code Manager has identified a number of typographical and grammatical errors, as well as opportunities to introduce clarifications and improve consistency across the REC products.

The Code Manager has also identified a Change Proposal (SPAA CP 496), implemented in a previous code, that was not fully reflected in the REC V2 drafting which went live in September 2021.

- SPAA CP 496 - MAM to CDSP Update of MAP ID and MTD
    - The Central Data Service provider (CDSP) is required to provide the Meter Asset Provider identity (MAP ID) to the Central Switching System (CSS) via the Gas Retail Data Agent (GRDA). in order to do so the meter asset manager must provide updates to the meter technical details or MAP ID directly to the CDSP to supplement information received from shippers.

This needs to be corrected to ensure the REC accurately reflects the requirements approved by other codes prior to the migration to the REC.

2 PROBLEM STATEMENT

REC Parties and Service Providers rely on the REC to provide a clear, accurate and consistent baseline to ensure the industry operates effectively and to give certainty to Parties obligations. There are currently inconsistencies between the drafting of the REC, and the processes operated by REC Parties and Service Providers. This could cause issues in the effective operation and assurance of the retail energy market.

3 USER STORIES

REF.	USER STORIES
MARKET PARTICIPANT USER STORIES	
M1	As a REC Party, I need the REC drafting to be accurate, so I can rely on it to ensure my processes and procedures correctly reflect my obligations. If there are mistakes or omissions, then it could cause issues with the effective operation and assurance of the retail energy market.

4 PROPOSED SOLUTION

The following REC Products are impacted by this Change Proposal:

REC PRODUCTS	REC PRODUCTS
Central Switching Service Definition	Schedule 14 - Metering Operations
Data Specification	Schedule 15 - Metering Accreditation
Electricity Enquiry Service Definition	Schedule 18 - Green Deal Arrangements
Electricity Retail Data Service Definition	Schedule 19 - Market Exit and Supplier of Last Resort
Gas Enquiry Service Definition	Schedule 23 - Registration Services
Gas Retail Data Service Definition	Schedule 24 - Switching Data Management
REC Change Panel - Terms of Reference V1.0	Schedule 25 - Central Switching Service
REC Main Body	Schedule 29 - Address Management
Schedule 1 - Interpretations	Schedule 30 - Resolution of Consumer Facing Switching and Billing Issues
Schedule 10 - Charging Methodology	Schedule 8 - Unbilled Energy Code of Practice
Schedule 11 - Prepayment Arrangements	Schedule 9 - Qualification and Maintenance
Schedule 12 - Data Access	Switching Operator Service Definition

The following type of changes are proposed as part of this Change Proposal:

CHANGE TYPE	TOTAL NUMBER OF AMENDMENTS
Typographical	84
Correct the use of a defined term	35
Clarification	18
SPAA CP information missing from REC	9

New Interpretation and Definition	4
Process documented incorrectly. However, the process is being correctly followed as per the correction	2
Addition of acronym	1
Formatting	1
TOTAL	**154**

5 DRAFT LEGAL TEXT

The legal text changes to deliver the proposed solution to R0036 can be found here.

6 DELIVERY AGAINST CHANGE PROPOSAL PLAN

To date all activity has been completed within the planned timescales.

The Change Proposal Plan can be found here

7 KEY RISKS, ASSUMPTIONS, ISSUES AND DEPENDENCIES

7.1 RISKS AND ISSUES

No.	RISK	NOTES	MITIGATION
R1	There is a risk that there are other omissions from the REC that haven't been identified by the Code Manager.	There may be other omissions or inaccuracies in the REC that have not been identified by the Code Manager in this Change Proposal. The Code Manager does not propose to delay the progression of this Change Proposal to complete a full review of all REC Schedules but will continue to review these periodically and address any future issues if they arise.	If other issues are identified during the course of progressing this Change Proposal, a decision will be made to include them in a future Change Proposal.

7.2 ASSUMPTIONS AND DEPENDENCIES

There are no assumptions or dependencies as part of this Change Proposal

8 PROPOSED IMPLEMENTATION DATE AND APPROACH

PROPOSED IMPLEMENTATION DATE	04/11/2022	PROPOSED IMPLEMENTATION APPROACH	BIG BANG

9 SUMMARY OF CONSULTATION RESPONSES

A consultation was circulated on 02 September 2022 to obtain views on the Preliminary Change report Link. In total 5 responses were received from two Energy Suppliers, two Distribution Network Operators and the Data Communication Company (DCC). The key themes from these responses have been summarised and set out below.

9.1 SUMMARY OF RESPONSES

9.1.1 COMMENTS ON THE SOLUTION AND LEGAL TEXT

All respondents agree with the proposed solutions and draft legal text.

9.1.2 CASE FOR CHANGE AND CODE MANAGER'S RECOMMENDATION

All respondents agreed there is a positive case for change and agreed with the Code Manager's recommendation.

9.1.3 IMPLEMENTATION APPROACH AND DATE

All respondents agreed with the Code Manager's recommended implementation date of 04 November 2022.

10 SUMMARY OF AMENDMENTS MADE FOLLOWING CONSULTATION

No amendments made to the proposed solution or draft legal text following comments received from the consultation.

11 BUSINESS CASE ASSESSMENT

There are no additional cost or impacts identified from this change, and this reflects the original policy intention of the REC.

In respect of SPAA CP 496 - MAM to CDSP Update of MAP ID and MTD, Market Participants and Service Providers have already implemented the Change Proposal that is missing from the REC drafting, when it was implemented under a previous code. The correction of this omission, and other typographical errors, will ensure that the REC reflects the current market arrangements and remove the risk of new Market Participants interpreting their requirements incorrectly.

As there is no cost associated with this Change Proposal, and it will improve the accuracy and clarity of the REC.

12 CASE FOR CHANGE

The Code Manager considers that there is a positive case for change to implement this Change Proposal, on the basis that:

- The proposed solution is fully formed and appropriately resolves the problem statement.
- There are no identified additional costs to the market in implementing the proposed solution.
- The impact of not implementing this solution would cause detriment to Market Participants, as the REC would not reflect the current market arrangements and could result in new Market Participants interpreting processes differently to existing Market Participants.
- A positive business case can be made, as detailed in section 11 of this report.

13 CODE MANAGER'S RECOMMENDATION

Based on the evidence in sections 11 and 12 of this report, the Code Manager considers a positive business case can be made for implementing this solution and recommends that the Change Panel:

- **APPROVE** the proposed change solution;
- **APPROVE** the proposed implementation approach; and
- **APPROVE** the proposed implementation date of 04 November 2022.

14 RESPONSIBLE COMMITTEE DECISION

On the 18 October 2022 the REC Change Panel voted to **APPROVE R0036** for implementation on 04 November 2022.

15 APPENDIX

CHANGE PROPOSAL	Link
LEGAL DRAFTING	EMAR Link Part 1 EMAR Link Part 2
PROPOSED CHANGES v2.0	Link
VARIANTS ONJOB FLOWS - MARKET PARTICIPANT MANDATORY V1.0	Link
CONSOLIDATED CONSULTATION RESPONSE FORM	Link

16 GLOSSARY

TERM	DEFINITION
Authority	means the Gas and Electricity Markets Authority (GEMA) as established under section 1 of the Utilities Act 2000.
Automated Meter Reading Service Providers Code of Practice for Gas Meter (ASPCoP)	means the Code of Practice of that name against which relevant service providers are assessed, pursuant to the Metering Accreditation Schedule.
Central Data Service Provider (CDSP)	means the 'Central Data Service Provider' appointed by Gas Transporters pursuant to standard special condition A15 (Central Data Service Provider) of the Gas Transporter Licences.
Central Switching System (CSS)	means the Systems and processes that are to be developed by (or the development of which will be procured by) the CSS Provider pursuant to the Transition Schedule in order to deliver the services which will become the Address Management Service and the Registration Service.
Code of Practice for Gas Metering Equipment Managers and Approved Meter Installers (MCoP)	means the Metering Code of Practice against which gas MEMs and Meter Installers are assessed, pursuant to the Metering Accreditation Schedule.
Distribution Network Operator (DNO)	means a person holding an Electricity Distribution Licence.
Gas Retail Data Agent (GRDA)	is one of a number of Switching Data Service Providers, as defined in the Interpretations Schedule and is therefore captured within the scope of the overall switching service management arrangements, as defined in the Service Management Schedule.
Market Participant	means a participant in either or both of the gas and electricity markets of Great Britain, each of which is identified by a Market Participant Role.

Meter Asset Provider (MAP)	means the person that makes the Metering Asset for an RMP available for use by the Registered Supplier and the Consumer.
Meter Asset Manager (MAM)	now known as MEM under the REC.
Meter Equipment Manager (MAM)	means, as applicable, either: (a) for electricity, the Meter Operator Agent (as defined in the BSC) Appointed by an Electricity Supplier; or (b) for gas, the Meter Asset Manager (as defined in the UNC) Appointed by the Gas Supplier.
Meter Technical Details (MTD)	means all the relevant information about Energy meters required by the Registered Energy Supplier, Supplier Agents, Distribution Network Operator and/or Gas Transporter to carry out its duties as such under this Code and other Energy Codes.
Retail Code Consolidation	means the Significant Code Review of that name launched by the Authority in November 2019.
Retail Energy Code (REC)	means this Code, including its Schedules and the Technical Specification, maintained pursuant to the electricity supply licences granted under the Electricity Act 1989 and the gas supply licences granted under the Gas Act 1986, as such code is modified from time to time in accordance with its provisions.
Significant Code Review	means a review of one or more matters by the Authority which the Authority considers is: (a) related to a Code (whether on its own or together with other Energy Code(s); and (b) likely to be of significance in relation to the Authority's principal objective and/or general duties (as set out in section 3A of the Electricity Act and section 4AA of the Gas Act), statutory functions and/or relevant obligations arising under Law, and concerning which the Authority has issued a notice that the review will constitute a significant code review
Switching Programme	means the programme established by the Authority to implement new switching arrangements in retail energy markets.